

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gabriele Multhoff  
 Serial No.: 10/581,918  
 371(c) File Date: 05 June 2006  
 Entitled: Compositions and Methods for the Treatment and Diagnosis of Neoplastic and Infectious Diseases

Group No.: Not Assigned  
 Examiner: Not Assigned

**RESPONSE TO NOTIFICATION OF  
 MISSING REQUIREMENTS UNDER 35 U.S.C. 371  
 IN THE UNITED STATES DESIGNATED/ELECTED  
 OFFICE (DO/EO/US) MAILED 01/18/2007**

**MAIL STOP MISSING PARTS**  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)(1)(i)(A)**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: **Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Date: April 18, 2007

By: Jennifer B. Xistris  
 Jennifer B. Xistris

Sir or Madam:

In response to the **Notification Of Missing Requirements Under 35 U.S.C. 371 In the United States Designated/Elected Office (DO/EO/US)** mailed **01/18/2007** (a copy of which is enclosed), applicant(s) submit(s) the following documents:

- Executed Declaration or Oath;
- An Assignment document for recording, whereby the subject application and the invention disclosed therein is assigned to: multimmune GmbH; and a Recordation Form Cover Sheet – Patents Only (Form PTO-1595);
- Power Of Attorney By Assignee;
- Request for Extension of Time for filing within the first month;

A check in the amount of **\$165.00** is enclosed herewith to cover:

**\$65.00** Surcharge for filing missing parts of an application  
**\$40.00** Assignment Recordation Fee  
**\$60.00** Fee for filing a one-month extension of time

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s), and/or credit any overpayment, to **Deposit Account No. 08-1290**. An originally executed duplicate of this transmittal is enclosed for this purpose.

Date: April 18, 2007

J. Mitchell Jones  
 J. Mitchell Jones  
 Registration No. 44,174  
 MEDLEN & CARROLL, LLP  
 101 Howard Street, Suite 350  
 San Francisco, California 94105  
 608/218-6900

04/26/2007 GFRLEY1 00000086 10581918

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## UNITED STATES PATENT AND TRADEMARK OFFICE

APR 23 2007

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.

10/581,918

FIRST NAMED APPLICANT

Gabriele Multhoff

ATTY. DOCKET NO.

KNAUTHE-12014

INTERNATIONAL APPLICATION NO.

PCT/EP04/13858

I.A. FILING DATE

12/06/2004

PRIORITY DATE

12/05/2003

J. Mitchell Jones  
 Medlen & Carroll  
 101 Howard Street, Suite 350  
 San Francisco, CA 94105

**RECEIVED****JAN 22 2007****MEDLEN & CARROLL****CONFIRMATION NO. 1880****371 FORMALITIES LETTER**

\*OC000000022050027\*

Date Mailed: 01/18/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/06/2006
- Copy of the International Search Report filed on 06/06/2006
- Preliminary Amendments filed on 06/06/2006
- U.S. Basic National Fees filed on 06/06/2006
- Priority Documents filed on 06/06/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65 Surcharge.**

*Response*  
*7/18/07*  
*re w*

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

VIRGINIA L IRBY

Telephone: (703) 308-9140 EXT 229

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/581,918	PCT/EP04/13858	KNAUTHE-12014